



## **Guideline for applicants**

Guideline for applicants to the call launched by COFASP-Marine Biotechnology ERA. Nets for transnational research in the sectors **Aquaculture, Fishery, Seafood Processing and Marine Biotechnology** 

(3rd Joint Transnational Call of the ERA-Net **COFASP** in collaboration with the ERA-Net MarineBiotech)

March 2016

## Guideline for applicants COFASP-Marine Biotechnology JOINT Transnational Call

## **TABLE OF CONTENTS**

1	General	information	3
2	Themati	ic research area – Call text	3
3	Participa	ation and funding of research projects	3
	Call sche	edule	4
4	Call Secr	retariat	4
5	Submiss	ion of proposals	5
	The project coordinator		
	proposal application		
6	Eligibility	y Criteria for Funding	9
	Ethical requirements		
	COFASP eligibility criteria		
	National	l Eligibility Criteria:	10
7	Selection	n Procedure	11
8	Contract	t conditions	13
	8.1.1	Terms of participation	13
	8.1.2	Contractual relationships	13
	8.1.3	Funding contracts	13
	8.1.4	Consortium Agreement	14
	8.1.5	Intellectual Property Rights, use and access to results	14
	8.1.6	Start date of projects	14
	8.1.7	Project reporting	15
	8.1.8	Dissemination requirements	
Ann	ex A: COF	ASP-Marine Biotechnology National Contact Points	16
Ann	ex B: Nati	ional Regulations	17







## **1 G**ENERAL INFORMATION

These guidelines present the main information necessary to prepare a proposal.

Applicants should read carefully these guidelines before contacting the Call secretariat and the National Contact Points.

## 2 THEMATIC RESEARCH AREA – CALL TEXT

Research project consortia can apply to the topic (see a detailed description of the thematic areas in the Call announcement):

- Topic 1: Fisheries stock assessment and dynamic modelling using 'omic' methodologies and tools
- Topic 2: Genome based approach to genetic improvement of aquaculture species
- Topic 3:Explore opportunities for the use of biotechnological tools, including targeted enzymes<br/>to develop more efficient seafood processing methods and high value products

Keywords: stock assessment, efficient managing systems, monitoring, biological tools, fisheries and seafood processing, dynamic modelling, genome based approach, genetic improvement, selective breeding, applying enzymes

This thematic area will be funded according to the funding table given in Annex A of the Call Announcement.

## **3 PARTICIPATION AND FUNDING OF RESEARCH PROJECTS**

The funding for the transnational projects will be based on the 'virtual common pot' instrument. This means that applicants in projects that have been selected for funding will receive the grant directly from their national funding body according to their terms and conditions.

Indicative funds from participating countries per sector are listed in Annex A of the Call Announcement, published on the COFASP and Marine Biotechnology website. These amounts may be allocated to one or subdivided to fund more than one project.

Applicants from countries which are not partners in COFASP, Marine Biotechnology or from member countries which do not provide funding for any topic of the call are welcome to participate in project proposals as associated project partners on the condition that they are proven financially covered. However they cannot coordinate a project and they are not taken into







account in the minimum number of eligible partners and countries in the COFASP-Marine Biotechnology eligibility criteria.

## **CALL SCHEDULE**

The call involves a one-step procedure with a **mandatory** pre-registration. The respective time schedule and activities required are listed in the table below.

One-step procedure with pre-registration:

Date	Scheduled Action			
March 21, 2016	Publication of the Call			
April 22, 2016	Pre-registration deadline			
April 30, 2016	Deadline for SEP (Scientific Evaluation Panel) member nomination and suggestions by the FP's			
June 20, 2016	Proposal submission deadline			
June 30, 2016	Perform formal eligibility check by JCS ( Joint Call Secretariat)			
July 4, 2016	Deadline for National Eligibility Check			
July 6, 2016	Meeting (or TC) with CB (Call Board) for eligibility discussion			
July 7, 2016	1 <sup>st</sup> SEP meeting (Check conflict of interest, Selection of chair and co-chair, Suggestions of external reviewers if needed)			
September 15, 2016	2 <sup>nd</sup> SEP meeting (ranking of proposals, <b>consensus reports</b> )			
3 October 2016	Meeting of the CB (Call board) for funding decision – Selection meeting			
17 October 2016	Notifications letters			
1 February 2017	Start of the projects			

## 4 CALL SECRETARIAT

The Joint Call Secretariat (JCS), located at UEFISCDI, will provide administrative support to applicants regarding the call, call documents and procedures. It is the primary point of contact between the Research Project Consortium and the COFASP and Marine Biotechnology Governing Boards for all general matters in relation to the call.

Contact information:

Domnica COTET

Unitatea Executiva pentru Finantarea Invatamantului superior, a Cercetarii, Dezvoltarii si Inovarii (UEFISCDI)







21-25 Mendeleev Street 010362, Bucharest, Romania Telephone: +4021 302 38 80 e-mail: domnica.cotet@<u>uefiscdi.ro</u> website: <u>www.uefiscdi.gov.ro</u>

Steinar Bergseth The Research Council of Norway Division for Innovation Stensberggata, 26 Oslo 0131 Norway +47 22037323 +47 22037323 +47 97066883

## stb@rcn.no (link sends e-mail)

### **National Contact Points**

The National Contact Points (NCP) are supporting the Call Secretariat and should be contacted for all matters regarding **national regulations and funding**. Please see Annex A for contact information of National Contact Points. Annex A is also available online on the COFASP and Marine biotechnology website, where you will also find contact information on the Call Secretariat and the NCPs.

## **5 SUBMISSION OF PROPOSALS**

The Call announcement is available at the COFASP website: <u>www.cofasp.eu</u> and Marine biotechnology website <u>http://www.marinebiotech.eu/</u>.

The application has to be filled in and submitted online via the website's submission portal.

The pre-registration is mandatory and has to be concluded by 22 April 2016 at 13.00 CET

The full proposal has to be submitted by 20 June 2016 at 13.00 CET.

## The application form consists of three different parts:

Mandatory pre-registration step:







1) Part A "Pre-registration": the registration is web-based and has to be filled in directly on the call submission website in predefined boxes. Pre-registration is mandatory and helps to appoint experts for the evaluation.

## Full proposals' step:

2) Proposal description (Part B): a project description form has to be downloaded from and uploaded to the call submission website. It is mandatory to submit the Part B form as an **unprotected** Adobe PDF file.

3) Proposal costs (Part C): the budget has to be inserted in predefined boxes on the call submission website.

Proposals must be written in English and they should be precise and concise.

The application should be submitted by the Project Coordinator on behalf of the consortium (chapter 6.1).

For further information contact the Call Secretariat (see chapter 4).

## THE PROJECT COORDINATOR

Each Project Consortium needs to appoint a Project Coordinator, who, in any submitted proposal, has the following role and responsibilities:

- Be the primary point of contact between COFASP-Marine Biotechnology and the researchers on behalf of the project consortium from the submission of the pre-registration until the publication of the final report.
- Submit the application on behalf of the project consortium.
- Compile and submit reports and other deliverables to COFASP-Marine Biotechnology on behalf of the project consortium.
- Secure that all project milestones and deliverables are met and take action according to the project management plan if one or more partners fail to deliver.
- Inform COFASP-Marine biotechnology about any event that might affect the implementation of the project.

The project coordinator will NOT be responsible for the financial management of COFASP- Marine Biotechnology project funding, which will be handled directly between the national research organisations and their national funding partners in each participating country.

## PROPOSAL APPLICATION

Applicants will be required to submit a proposal consisting of the following information:







## 1) Pre-registration (Part A): predefined boxes on the call submission website

- 1. Title of project (max. 200 characters)
- 2. Project acronym (max. 20 characters)
- 3. Topic and thematic research area: Aquaculture, Fishery, Seafood processing
- 4. Project summary (2000 characters)
- 5. Keywords (max 5 and 100 characters)
- 6. Duration of the proposed project (max 36 months)

7. References of five selected publications (per consortium) by the partners and relevant to the proposal, including links to the articles or abstracts

## Information about the coordinator and the project partners including a preliminary financial plan:

- 8. Contact details for each project partner.
- 9. Description of project partners, their role in the project and related projects:
  - The role of each project partner in the project.
  - A short description of the partner.

For coordinator and work package leaders:

- A short description of on-going and planned future projects related to the present topic indicating project name, funding source and amounts, and potential overlap or link with the current proposal.
- A brief CV (max. 2500 characters).







## 2) Proposal description (Part B): word form from the call website to be submitted as PDF (maximum 25 pages in total):

- B1 Project acronym
- B2 Project summary (approx. 0,5 page) suitable for web publishing.
- B3 Background and "State of the Art" (including preliminary results approx. 1,5 pages).
- B4 Description of the project: (max. -18 pages in total)
  - a) Aim, objectives and hypotheses
  - b) Scientific description of methods & work plan, including work packages, description of deliverables, milestones and timetable (with responsible work package/partner and deliverables, optionally in a Gantt chart)
    - i. Scientific description of the project project structure, work packages
    - ii. Methodology description
    - iii. Work plan, deliverables and milestones
  - c) Expected results and their impact/application
  - d) Description of novelty in methods or development opportunities
  - e) Dissemination plan and/or exploitation of results
  - f) Description and definition of potential risk to the implementation and success of the project (e.g. in research methods, case studies, stakeholders involvement), describe how the chances for success are maximized, and include a contingency plan.
- B5 Statement describing how the proposal fits to the call topic (max. 1 page) including identification of the added value/complementarity of the proposed research to previous or on-going projects, relevance for the addressed sector(s)
- B6 Statement describing how/why the proposal has a clear added value of being carried out on a transnational basis (European added value, transnational impact of the proposed project, including added value for participating countries and cross border problems, description of the specific integration of participants in the transnational consortium structure) max. 0,5 page
- B7 Statement describing mobility/training opportunities (description of training/exchange activities foreseen within the project, if applicable) (max. 0,5 page)
- B8 Statement describing the societal and ethical aspects (aspects of the project such as environmental, human or animal welfare, intellectual or cultural development, that could cause societal or ethical concerns or contribute to progress in these areas) (max. 0,5 page)
- B9 References used for the project description (please use a format containing the following



MarineBiotech



information: Surname, A., Surname, B., Surname, C. (Year): Title of paper, Journal, Volume, Pages) max. 30 references

- B10 <u>Declaration of parallel submissions of this proposal (whole or parts) to other funding</u> <u>programmes.</u> Provide details of any proposal related to this one, which you or another project partner have submitted to other funding opportunities, including title, funding source, extent of overlap and expected decision date. **Duplication of funding is not allowed for the same (whole or part) research project.**
- B11 Justifications of changes since pre-registration (max. 1 page, Arial font, 11pts, single spaced)

## 3) Proposal costs (Part C): predefined boxes on the call submission website:

- C1 Financial plan with requested funding for each project partner. The financial needs principally have to be in line with national regulations of each country. These regulations can be found on Annex B
- C2 Details of requested resources for each partner (person months, salaries, animals, equipment, subcontracting, travel, overhead and other additional costs to be specified), approx. 1 page.

The maximum text indicated in each part should be respected and the following format should be used: Arial, 10 pt, single space, 2.5 cm left/right margins, 3.5 cm top margin, 2 cm bottom margin.

Part A and C can be saved at all steps; revisions of Part B can be submitted until the deadline. The latest version will be shown at the next log-in. On 17 June 2016 @13.00 CET the latest version is considered to be your final proposal.

## Proposals, which do not include all the compulsory information and adhere to all formal requirements, will not be considered for evaluation.

During the application phase it is mandatory for each applicant to consider the national regulations for their country as published on the call website, or to contact the national contact points for further information.

## 6 ELIGIBILITY CRITERIA FOR FUNDING

The proposal should meet the following eligibility criteria:

## **ETHICAL REQUIREMENTS**

Work involving the use of animals or humans should be carried out under the appropriate authorisation taking into account national ethical requirements. Any proposal, which seems to







contravene fundamental ethical principles, shall not be selected, and may be excluded from the evaluation and selection procedure at any time by the COFASP-Marine Biotechnology Call Board. The judgement of the significance of ethical issues will be made by the Evaluation committee using the criteria published by the Commission in its guidelines for H2020 Work Programme

(http://ec.europa.eu/research/participants/data/ref/h2020/grants\_manual/hi/ethics/ethicseit\_en.pdf).

## **ELIGIBILITY CRITERIA**

Each project -proposal must:

- ✓ Consist of a transnational consortium of at least three independent eligible legal entities from a minimum of three of the COFASP-Marine Biotechnology partner countries providing cash funding for the call listed in Annex A of the Call Announcement.
- ✓ Be written in English.
- ✓ Use the application forms provided via the call website: <u>www.COFASP.eu</u>. Fulfil the formal requirements for proposal submission, as they are presented above (pages 7-9).
- ✓ Be submitted correctly and completely via the call website before the call deadline.
- ✓ The total requested funding for a project partner (or partners from the same country) cannot exceed the indicative amount allocated by their respective national funding body (see Call Announcement).

Researchers from non-funding countries are welcome to participate in project proposals as associated project partners on the condition that they are proven financially covered. However, they cannot coordinate a project.

## NATIONAL ELIGIBILITY CRITERIA:

All project partners involved in an application have to assure that their application is in line with the respective national regulations. The main national regulations are listed in Annex B with additional links to the entire national regulations for participants.

The national priorities of each funding partner will be published via the Call Announcement and additionally stated in Annex B.

In case of uncertainties regarding the national regulations and national priorities, please contact your National Contact Point (see contact details in Annex A).



MarineBiotech



## **7** SELECTION PROCEDURE

All proposal submissions will be treated strictly confidential by COFASP-Marine Biotechnology.

In the selection process the transnational research proposals will be checked by the Call Secretariat according to the "Eligibility criteria for Funding" mentioned in chapter 6 and each funding partner. Meeting these criteria is mandatory and proposals that do not meet them will not be eligible for further consideration.

In case one funding partner does not support the funding of a proposal for reasons of ineligibility of applicants, the whole project consortium risks not being considered for funding.

Proposals found eligible will be evaluated by scientific experts according to the following criteria:

1- Relevance of the proposal:

- The proposal addresses the aim(s) of the joint transnational call as described in the topic descriptions.
- Relevance of the proposal compared to the call text and current state of knowledge (Innovative and problem solving potential of the project and complementarity with already existing results).
- Transnational and/or European added value.

2- Scientific and technical quality of the proposal:

- Scientific excellence in terms of progress of knowledge with respect to the state of the art, conceptual breakthrough including methodology.
- Innovation level of the project and methods, and multi- and interdisciplinary approach.
- Integration of the different disciplinary fields.
- Inclusion of relevant societal and ethical aspects.
- 3- Impact:
  - Impact (Scientific impact, specific barriers and development potentials in the mentioned sectors to be overcome/supported by the project relevance for the addressed sector(s).)
  - Dissemination activities and expected impact for end users:
    - strategy for technology transfer and for exploiting the potential of the project results, if relevant; industrial or technological application prospects and economic and commercial potential, business plan, integration in the industrial activity. Credibility of the described technology transfer and the method for exploiting the results.
    - potential for utilisation or integration of the project results by the scientific or industrial community or society, and impact of the project in terms of knowledge acquisition.
    - o openness to stakeholders
  - Expected impacts in terms of capacity building







- Mobility/training actions
- Education initiatives/courses on new identified skills/to fill an educational gap
- Specific initiatives (e.g. on-field training) linking human capacities and research infrastructures
- o Actions supporting jobs, including non-academic, in concerned sectors

4- Quality of the consortium:

- Quality and international competitiveness of participants in the field(s) of the proposal (previous work in the field, expertise of the participants).
- Quality and efficiency of the project management (coordination of work packages and tasks management).
- Quality of the consortium and collaboration (well balanced partnership; integrated partnership in work packages; broadness of consortium compared with geographical relevance; previous level of collaborative interaction between the participants,).

5- Project feasibility:

- Feasibility of the project human, technical and financial resources: adequate work package structure and work plan (tasks, milestones, deliverables, matching events, calendar); adequate equipment and manpower resources; quality of the coordination plan.
- Cost-efficiency of the project plan compared with the budget. Appropriateness and justification of the requested funding (justification of the permanent personnel resources, appropriateness of the coordination costs, justification of the temporary personnel resources (trainees, PhD students, post-doctoral researchers), evaluation of the sum for investments and equipment purchases, evaluation of the other financial items (travel, subcontracting, consumables, etc.).
- Probability of success of the project based on description of risks and the contingency plan.

The international experts will be nominated by the Call Board and appointed by the Call Secretariat. The pre-registration of the proposals serves to enhance the selection of experts suitable, in order to cover the expertise needed. Potential conflict of interests will be considered. Moreover, the experts have to sign an impartiality and confidentiality agreement before they get access to the proposals. The experts will score between 0 and 5 each criterion listed above, provide comments, make an overall assessment of scientific merit and a funding recommendation. To be recommended for funding, each score given for an evaluation criterion must be equal or above the threshold of 3,0 and any ethical issues must have been solved. The experts will rank the proposals – the proposals will be assigned a unique rank – and produce one ranking per sector (main sector addressed for trans-sectorial proposals). The panel discusses the top ranked







proposals and combines them into one single ranking list: the 1st proposals of each sector would be 1st ex æquo, the 2nd of each sector 2nd ex æquo ...

The final funding decision is taken by the COFASP-Marine Biotechnology Call Board based on the final ranking list, the recommendations from the expert evaluation and the available budget.

The COFASP-Marine Biotechnology Call Secretariat will send notification letters to all project coordinators to inform them of the result of the final funding decision. For the proposals not selected the letter will include an explanation as to why. Furthermore, it is not possible to appeal on the decision after notification by the Call Secretariat.

After the official notification of the funding decision, the funding partners will contact the respective project partners of the selected projects for contracting.

## 8 **CONTRACT CONDITIONS**

## 8.1.1 TERMS OF PARTICIPATION

The national funding of the COFASP-Marine Biotechnology Calls is under the coordination of the FP7 ERA-Net COFASP and FP7 ERA-Net Marine Biotechnology. Partners in the project consortia are required to recognize the coordinating role of COFASP-Marine Biotechnology throughout the duration of the funded research projects until the publication of the final report. Each project consortium will be asked for a feedback on the processes in order to help to refine them for the future use.

## 8.1.2 CONTRACTUAL RELATIONSHIPS

COFASP-Marine Biotechnology is a collaboration of national funding bodies with the aim of establishing transnational research collaboration. The contracts with researchers and funding procedures and regulations remain the full responsibility of the national funding bodies.

Because of the fragmented nature of the funding, care will be taken to ensure that the individual contracts are synchronized both in time and content, so that the project consortium can deliver transnational outputs as described in the project proposal. The national funding bodies have to ensure that common COFASP-Marine Biotechnology conditions are met (e.g. common start date of a given project, reporting requirements *etc.*).

## 8.1.3 FUNDING CONTRACTS

For the whole duration of the contract, it is the responsibility of the project coordinator to inform COFASP-Marine Biotechnology of any changes which may affect the implementation of the project (e.g. work plan, consortium modifications) and other changes such as changes in the contract







details.

Any financial issue is under the responsibility of each national funding body involved in the approved project.

If a change to the project consortium occurs which poses a risk to the project, the issue has to be solved by the consortium (in line with the Consortium Agreement). The Call Secretariat must be kept informed of such events.

Any changes in the work plan should be only minor, but will need to be authorised by COFASP-Marine Biotechnology.

## 8.1.4 CONSORTIUM AGREEMENT

The consortia selected for funding must enter into a Consortium Agreement, in order to manage the project activities, finances, intellectual property rights (IPR) and to avoid disputes which might be detrimental to the completion of the project.

It will be the responsibility of the research project coordinators to draw up a Consortium Agreement suitable to their own group. The Consortium Agreement will normally be under the law and legal system of the country of the project coordinator. The purpose of this document is:

- To underpin the project partners' collaboration and provide the project partners with mutual assurance on project management structures and procedures, and their rights and obligations towards one another.
- To assure the funding bodies involved that the project consortium has a satisfactory decision making capacity and is able to work together in a synergistic and positive manner.

## The Consortium Agreement must be finalized and signed by all partners of the project consortium no later than 2 months after the project start date.

## 8.1.5 INTELLECTUAL PROPERTY RIGHTS, USE AND ACCESS TO RESULTS

Results and new Intellectual Property Rights (IPR) resulting from projects funded through the COFASP-Marine Biotechnology Call will be owned by the project partners according to the conditions stated in their Consortium Agreement and shall not be in conflict with the respective national regulations.

Researchers are encouraged to actively exploit the results of the research project and make them available for use, whether for commercial gain or not, in order for public benefit to be obtained from the knowledge created.

## 8.1.6 START DATE OF PROJECTS

A project can start when all national contracts have been finalised. Once the national contracts come into force, eligible national costs may be claimed as per national procedures.







### 8.1.7 **PROJECT REPORTING**

A mid-term and a final scientific report including deliverables have to be sent to the Call Secretariat by the project coordinator within two months of the mid-term and the end of the project respectively. The reports should cover the research progress and the financial aspects of all consortium partners. They must include a public summary of the research progress to be published on COFASP and Marine Biotechnology websites. The Call Secretariat will forward the reports to all involved countries and respective partners.

Project reports and the progress will be assessed against the expected output and timeline as described in the final project description (milestones and deliverables). Action may be taken by funding bodies in case of shortcomings or non-compliance.

In addition, in connection with the midterm and final report brief project updates suitable for immediate publication have to be provided to the Call Secretariat, including <u>a minimum of 3</u> <u>stakeholder-oriented articles</u>.

Project reports and articles must be written in English, whereas supplementary versions may be written in other languages at the project partners' discretion and own expense.

If required by national obligations, each project partner has to report progress of their work to the national funding bodies. This also applies to financial reports.

### 8.1.8 DISSEMINATION REQUIREMENTS

Dissemination of project results is requested in the form of various communication routes such as scientific papers, posters, stakeholder involvement, course or training material, web based tools, workshops or direct intervention towards end users.

A dissemination plan should be included in the full proposal and specify the planned dissemination activities.

Further, the project partners have to acknowledge the transnational funding of COFASP-Marine Biotechnology and the individual national funding bodies in any document that is published (in written, oral or electronic form) within the research project.







COUNTRY	NAME & ORGANISATION	TELEPHONE	E-MAIL
DENMARK	Annette Abildskov, DAFA	+45 4189 2527	anab@naturerhverv.dk
DENMARK	Floor ten Hoopen, Innofond	+45 6190 5040	floor.tenhoopen@innofond.dk
FRANCE	Claude Yven, ANR	+33 (0)1 73 54 82 87	claude.yven@agencerecherche.fr
GERMANY	Arnd Bassler, BLE	+49 228 6845 3506	arnd.bassler@ble.de
GREECE	Paraskevi Afentaki, GSRT	+30 210 7458112	pafe@gsrt.gr
NORWAY	Kjell Emil Naas, RCN	+47 22037514	ken@rcn.no
ROMANIA	Domnica Cotet, UEFISCDI	+0213023880	domnica.cotet@uefiscdi.ro
TURKEY	Mehmet DİNGİL, GDAR	+90 312 315 76 23	mdingil@tagem.gov.tr
ESTONIA	Helena Pärenson, MEM	+372 625 6550	helena.parenson@agri.ee
CANADA	Rachael Ritchie Genome British Columbia	604.637.4379	rritchie@genomebc.ca

## ANNEX A: COFASP AND MARINE BIOTECH NATIONAL CONTACT POINTS







## **ANNEX B: NATIONAL REGULATIONS**

DENMARK







### FRANCE

The French National Research Agency (ANR)

### Eligibility criteria:

ANR funding is limited to project partners residing in France. For this call, French partners must be associated with a minimum of 2 partners which are funded for all above mentioned eligible costs in cash.

Proposed projects may not exceed 36 months.

One project can require a minimum of 15,000 € and up to 250,000 €.

Applicants must include at least one French partner in the public research organization category (university, EPST – Scientific or Technical Public Institution, EPIC – Industrial or Commercial Public Institution). Participation of a French private partner is not mandatory but would be appreciated.

It is important to read carefully the call for proposals text, the present document in its entirety, and the regulations concerning the conditions of allocation of ANR funding (<u>http://www.agence-nationale-recherche.fr/RF</u>) before submitting a research project.

The French part of the project proposal must not be judged similar to a project that is already financed by the ANR or submitted to another ANR call for proposals.

The French part of the project proposal must not be considered to infringe an intellectual property right characterizing a counterfeit within the meaning of intellectual property.

### Important recommendations:

•The French project coordinator should devote at least 30% of his/her research time to the project;

•The total (in person months) of the non-permanent personnel (postdocs, fixed-term contracts, temporary workers) receiving ANR funding should not exceed 30% of the total (in person months) of the staff (permanent and non-permanent personnel) of the French partners assigned to the project;

•The financing duration for each post-doctoral fellowship cannot be less than 12 months.

More information about conditions of participation and important recommendations for French participants will be published on ANR website (<u>http://www.agence-nationale-recherche.fr/suivibilan/environnement-et-ressources-biologiques/era-net-cofasp-cooperation-in-fisheries-aquaculture-and-seafood-processing/</u>)

Under the supervision of the project coordinator, the partners must conclude a Consortium agreement specifying in particular (i) the sharing of the intellectual property rights of the project results; (ii) the conditions of publication / dissemination of the results; (iii) the technology transfer and the exploitation of the project results. The French project partners will send a copy of this agreement to ANR. The consortium agreement will need to be in place and reported to ANR before any payment will be made.







### GERMANY BLE/BMEL

Bundesministerium für Ernährung, Landwirtschaft (BMEL) / Bundesanstalt für Landwirtschaft und Ernährung (BLE)

BMEL will support projects within the scientific scope outlined in the ERA-Net COFASP Call text. The main regulations are as follows:

- Funding bases on §§23 and 44 BHO (Bundeshaushaltsordnung) and associated administrative regulations according to Verwaltungsverfahrensgesetz (VwVfG) §48 § 49, §49a.
- The funding regulations, follow up and reporting of publicly funded projects are regulated according to ANBest (Allgemeine Nebenbestimmungen).
- Proposed projects must be in line with the national announcement.
- Funding will be awarded as non-repayable project grant.
- Eligible Applicants are natural persons and corporate bodies, universities and research institutions domiciled in Germany. Research institutions, which receive basic financing, can be funded subject to specific conditions.

In case of involvement of private enterprises:

- The funding regulations, follow up and reporting of publicly funded projects are regulated according to NKBF 98 (Nebenbestimmungen für Zuwendungen auf Kostenbasis)
- In the pre-proposal stage private enterprises have to submit relevant documents to proof their reliability.
- Private enterprises have to provide a business plan for the implementation of the results.







### GREECE

#### **Eligibility Criteria and funding:**

- 1) Type/nature of the participants: All legal entities (public and private sector),
- 2) Legal/administrative/financial conditions:

**1**. Eligible enterprises are those having been operating for, at least, two (2) economic years and have published, at least, two (2) balance-sheets. For the "Spin-off" Enterprises eligible are considered those having been operating for, at least,

(1)The [previous] economic year and have published one balance-sheet.

**2.** For private Companies and SMEs the *Public contribution* may cover maximum **up to** 70%, of the total budget of each partner in a project. Their own contribution must cover at least 30% of the total budget of the project. In case of Fundamental/Basic Research the maximum contribution may amount to 100% of the total budget.

**3**. For the Public Research Institutes and Universities, the *Public contribution* may cover **up to** 100 % of the total budget.

**4.** In case that a Greek eligible entity participates in more than one successful/funded projects in the frame of the <u>same</u> call and the total of its requested budget exceeds the 20% of the National contribution to the particular Call, the GSRT will examine the possibility of reducing the requested total amount, taking into account the total available national contribution and the number of Greek beneficiaries in the successful/to be funded proposals.

**5.** National contribution for all Greek legal entities located in: **a**/ the Region of Attica (Source of funding: Operational Programme of Attica) and **b**/ the Region of South Aegean cannot exceed the 40% cumulatively of the total available national contribution, in the framework of the 3rd Joint Transnational Call of the ERA-Net COFASP in collaboration with the ERA-net MarineBiotech. In case of non-participation of other Greek regions the above limitation is not valid.

**6.a** Subcontracting funding for public legal entities cannot exceed the 25% of the budget for the specific part of the research project undertaken by the Greek project partner. Concerning the quotas of the direct subcontracting and in order to ensure competition, the rules applied to all other European projects are also applied in this case. **b.** Subcontracting should also be eligible when it deals with the implementation of part of the research project which should not exceed 30% in terms of Large Enterprises and 60% in terms of Small and Medium Size Enterprises.

**7.** Activities already financed by other public funds are not eligible to be financed by the 3rd Joint Transnational Call of the ERA-Net COFASP in collaboration with the ERA-net MarineBiotech. A formal declaration is demanded from the applicants/participants, stating that they have not received nor will receive any kind of additional Public funding/funds for the same purpose.

The formal declaration will be asked from the participants by GSRT as soon as their proposals will be approved for funding and not with the submission of the proposal.

**8**. Greek applicants are obliged to inform the General Secretariat for Research and Technology-GSRT/Ministry of Education, Research and Religious Affairs (address: 14-18 Messogeion Blv, 115 10 Athens – GR) on their participation in proposals in the frame of the 3rd Joint Transnational Call of the ERA-Net COFASP in collaboration with the ERA-net MarineBiotech. They are obliged to submit a document with the title of the project, the summary, the partners and the total requested budget for the project and (clearly) the part budget dedicated to the Greek partner. Greek applicants are obliged to submit this document to GSRT within three days following the closure date of the particular Call.







Type of Activity	Percentage of maximum costs (up to) covered by national funding				
Type of Organization	Large Enterprises, Groups and Associations of Enterprises	Medium Enterprises	Small Enterprises	Public Research Institutes and Universities	
Fundamental / Basic Research	100%	100%	100%	100%	
(Industrial/Applied Research)	100%	100%	100%	100%	
	50%	60%	70%	100%	
(Experimental Development)	25%	35%	45%	100%	
Experimental Development, if covered one of the following conditions: (i) the project involves effective collaboration: — between undertakings among which at least one is an SME, or is carried out in at least two Member States, or in a Member State and in a Contracting Party of the EU, and no single undertaking bears more than 70 % of the eligible costs, or — between an undertaking and one or more research and knowledge- dissemination organizations, where the latter bear at least 10 % of the eligible costs and have the right to publish their own research results; (ii) the results of the project are widely disseminated through conferences, publication, open access repositories, or free or open source software. Notice/ <i>Caution</i> : Note that in the condition (i) subcontracting is not considered efficient collaboration		50%	60%	100%	







## What type of funding are eligible for the Greek applicants?

## 1. Eligible costs as direct costs

## 1a Costs of personnel

Personnel costs are costs for scientific and administrative personnel, for researchers with employment contracts as well as for other supporting staff (temporary employees etc.) as they are employed on the research project.

## 1b Costs of durable equipment

If such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable at the completion of the project. The costs of durable equipment for a Greek participant are limited to 20% (up to 20.000  $\in$ ) of the overall contribution of GSRT to the respective project.

<u>1c Costs of use of buildings</u> (that) are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, are considered as eligible. The amount of use (percentage used and time) must be auditable at the completion of the project. The costs of use of buildings for a Greek participant are limited to 10% (up to 10.000 €) of the overall contribution of GSRT to the respective project.

## 1d Subcontracting

i. Subcontracting funding for public legal entities cannot exceed 25% of the budget for the specific part of the research project undertaken by the Greek project partner. Concerning the quotas of the direct subcontracting and in order to ensure competition, the rules applied to all other European projects are also applied in this case. ii. Subcontracting should be eligible when deemed necessary for the implementation of the research project and must not exceed 30% of the project's budget for Large Enterprises and 60% for Small and Medium/SMEs Enterprises. For tasks that not considered being "core" tasks it is provided that they may be eligible when they refer/are support services e.g. rent of laboratories, market patents, marketing consulting services and other services for the implementation of the proposal/work plan submitted and approved for funding).

2. General and operational costs

## <u>2a Events</u>

In general all costs directly related to the preparation and implementation of events such as workshops, conferences etc. are eligible. Those costs must be in time requested and justified by the partner (s) in the hosting country.

## 2b Travel

Here, lump sums are taken as a basis for budget calculation. Nevertheless the final calculation will be done on the basis of invoices. A general lump sum of up to  $1000 \in$  (economy class & return ticket) for each travel to another country will be requested. In addition to the above, domestic (in Greece) travel costs should be eligible up to an amount of  $300 \in$  (economy class & return ticket) per travel.

2c Subsistence allowance and accommodation







The general subsistence allowance including accommodation costs etc. For missions concerning the project must be in compliance with the national/Greek regulation according to the Ministerial Decision 2/74450/24-11-2015 in force. The day of arrival is considered as a full (mission) day and the day of departure (back to Greece) is equally considered as a full day only on condition that there is a scheduled meeting for that day. If not, the subsistence allowance won't be reimbursed.

### 2d Consumables and supplies

Any consumables necessary for the implementation of the project may be considered as direct eligible costs.

*2e Eligible costs as Indirect costs* 

Up to 5% of the total budget.

3. Upper funding limits for the eligible costs

The Upper limit of the total public funding will be 100.000 € per project. The maximum state aid intensity will be calculated according to the provisions of the European state aid rules and regulations in force (type of research activity, size of the participating enterprise, collaborative research).

For Additional Information concerning the 3rd Joint Transnational Call of the ERA-Net COFASP in collaboration with the ERA-net MarineBiotech please contact: - Mrs Paraskevi Afentaki International S&T Cooperation Directorate, Bilateral and Multilateral Cooperation Section General Secretariat of Research and Technology, Ministry of Education, Research and Religious Affairs 14-18 Messogeion Avenue, 115 10 Athens – Greece Tel: +30 210 7458112, e-mail: pafe@gsrt.gr http://www.gsrt.gr







#### NORWAY

#### Participating organization:

- The Research Council of Norway

- Budget for Norwegian participation in this call: Up to 2 200 000 €

1 000 000 € from the HAVBRUK2 program in the aquaculture sector and
200 000 € from the BIONÆR program in the seafood processing sector
1 000 000 € from the BIOTEK2021 program for biotech tool development in the aquaculture and seafood processing sectors.

Funding from the BIOTEK2021 is for biotech parts of Norwegian applications fulfilling the evaluation criteria related to the biotech component in the project.

#### Who can be eligible for funding (incl. industry participation)?

Norway will support *Researcher Projects* in this call. This implies that the responsible Norwegian partner in the project has to be a Norwegian research institution while Norwegian companies/groups of companies/trade and industry organizations are welcome to join the projects as partners or subcontractors.

Norwegian participation must fulfil RCN's requirements for researcher projects: <u>Researcher project - The Research Council of Norway</u>

The Norwegian participation must also follow RCN's General Terms and Conditions for R&D Projects: <u>Contract and reporting - The Research Council of Norway</u>

Project partners of financed projects will have to submit national application forms to The Research Council of Norway after notification.

#### National priorities:

The minimal support for the Norwegian partners is  $25,000.00 \in \text{per partner}$ . The maximum support should not exceed  $300.000,00 \in \text{per project}$ . If the Norwegian partner is the coordinator of the project the maximum support is  $500.000,00 \in \text{per project}$ . The budget for the Norwegian partners should follow RCN cost model and RCN regulations.

<u>Project budgets - The Research Council of Norway</u> and <u>Payroll and indirect costs - The Research Council of</u> <u>Norway</u>







### ROMANIA

### Eligible entities:

- Legal entities established in Romania are eligible to get funding public and private accredited universities, national R&D institutes, other research organizations, SMEs, large industrial enterprises;
- The Principal Investigator of Romanian team must hold a Ph.D. degree. This condition does not apply if the Romanian host institution is an enterprise according with the specific European and national laws.
- No simultaneous funding is possible for more than one application under the same call.
- The Principal Investigator of Romanian team is full time employed within the host institution with permanent position, with fixed term contract covering at least the duration of the project or has an agreement with the host institution for his / her employment at least for the duration of the contract;
- It is forbidden to submit a proposal which seeks to fund activities already funded by other public sources;
- The host institution does not have a seizure on its accounts; it has not been declared bankrupt or wound up; it has not made false declarations concerning its economic and legal statute; it has not broken other contract previously signed with a public contracting authority;
- The host institution agrees to ensure the necessary administrative support, to provide access to all necessary resources/ infrastructures, to support the project implementation in good conditions and to employ the members of the Romanian team, in respect of all legal provisions in force, if the project is selected for funding.

## Eligibility of the costs and funding

The following activities are eligible for funding:

- research and development activities (e.g. technical and industrial research; experimental development; fundamental research)
- technical feasibility studies
- activities for obtaining and protecting intellectual property rights for SMEs

## **Eligibility of costs**

The following categories of expenses are eligible:

### a. Staff costs;







b. Logistics expenses

- Capital expenditure ;

- Expenditure on stocks - supplies and inventory items;

- Expenditure on services performed by third parties cannot exceed 25 % of the funding from the public budget. The subcontracted parts should not be core/substantial parts of the project work;

c. Travel expenses;

d. Overhead (indirect costs) is calculated as a percentage of direct costs: staff costs, logistics costs (excluding capital costs and cost for subcontracting) and travel expenses. Indirect costs will not exceed 20 % of direct costs.

Expenses are eligible if incurred after signature of the contract.

### **Funding rates**

The maximum funding for one project from the public budget is 250.000 euro for up to 36 month.

\*The eligibility rules will be updated according to the National Plan for Research, Development and Innovation 2014-2020, (when available )







### TURKEY

### National regulations, Turkey

Ministry of Food, Agriculture and Livestock, General Directorate of Agricultural Research and Policy, GDAR

1. Due to GDAR could not pay for the salaries of permanent researchers and research technicians working outside of GDAR system, salaries should be excluded from the project budget; only temporary workers could be paid;

2. It is not possible to fund directly projects proposed by the scientists outside the GDAR system, therefore, consortium must include at least one of the GDAR institutes or stations;

3. Budget allocations for the successful projects could be realised through a GDAR Research Institute/station participating in the winner consortium, from the beginning of the next fiscal year following the signing of the contract

### http://www.tarim.gov.tr/TAGEM/Sayfalar/Anasayfa.aspx

For further information please refer to the national contact point: Mehmet DENİZ Ministry of Food, Agriculture and Livestock, General Directorate of Agricultural Research and Policy (GDAR) Phone: +90 312 315 76 23; +90 543 227 65 05 E-Mail: mdingil@tagem.gov.tr







### **ESTONIA**

Please note that Ministry of Rural Affairs (MEM) will only fund successful projects submitted under Topic 3.

Eligibility criteria:

The call is addressed to public research institutions and public universities.

Project manager must have a doctoral level degree and research publications and/or patents registered in their name during the last 5 years.

Eligible expenditure:

The expenses to be incurred for the implementation of the project and the continuous expert analysis must be directly related to the activities and inescapable for the implementation of the project and continuous expert analysis and meet the following conditions:

- labour costs and holiday pay in proportion to the time worked for the Programme and pursuant to the procedure prescribed by the Employment Contracts Act;
- remuneration paid under a contract for services or other contracts pursuant to the procedure prescribed by the Law of Obligations Act;
- transport expenses based on a public transport ticket or the sum on a taxi receipt, in accordance with actual expenses verified by a supporting document on fuel consumption or pursuant to the terms and conditions of the use of private vehicles and compensation per kilometre as established by the institution pursuant to the procedure prescribed by the Regulation of the Government of the Republic No. 164 of 14 July 2006;
- travel costs, including participation fees in seminars or conferences pursuant to the procedure prescribed by the Regulation of the Government of the Republic No. 110 of 25 June 2009;
- the expenses related to the purchase, lease, modernisation and installation of premises, land, resources, scientific equipment, instruments, fixtures and fittings, including office equipment required for their operation (computers, digital cameras, etc.) to the extent that they are used for the project and for the performance of the continuous expert analysis during the implementation of the project;
- maintenance costs of scientific equipment, instruments, fixtures and fittings, which ensure the intended operation of the research and development infrastructure to the extent that they are used for the project and for the performance of the continuous expert analysis during the implementation of the project;
- expenses related to work outsourced from other institutions or laboratories;
- other operating expenses, including costs related to materials, accessories, inventories and other similar products, which have directly been incurred in connection with the project or the performance of the continuous expert analysis;
- software licenses, access fees (licence fees) for the use of databases;
- costs related to the acquisition of specialty-specific software; and
- overhead costs, including overhead charge to the extent of 20% of the budget of the project or the performance of the continuous expert analysis.

More details are available at: http://agri.ee/et/pollumajanduslikud-rakendusuuringud-ja-arendustegevus-aastatel-2015-2021







## CANADA

## **Eligible entities**

To qualify for Genome BC funding, a researcher must be based at one of BC's universities or affiliated hospitals, colleges, the BC Institute of Technology, or BC-based research institutions, non-governmental agencies or not-for profit organizations with an explicit research mandate.

New investigators and researchers are encouraged to apply.

## **Funding Conditions**

Funding of up to \$300,000 CAD (~200,000 Euro) is available for BC researchers up to a maximum of 20% of project budget.

Funding is restricted to *applied* projects under *Topic 2: Aquaculture*.

Commercial companies are not eligible to receive Genome BC funding under this call but <u>must</u> be part of consortia as collaborators.

BC researchers can be either the Project Coordinator (Principal Investigator) or a member of the research consortium. In either case, the role of the participating BC researcher(s) in the overall project must be clearly described in the full proposal.

BC researchers must complete a 'BC First application' to ensure that work is aligned with Genome BC's Genomics Strategies for BC's key economic sectors to maximize the delivery of benefits for BC. These strategies focus on key socio-economic challenges and related research questions. The 'BC First application' can be obtained from Genome BC.

BC researchers must ensure eligibility of project costs and must conform to Genome BC's funding guidelines and data protection and release guidelines.

More information about conditions of participation and important recommendations for BC participants will be published on the Genome BC website: <u>www.genomebc.ca</u>

Researchers are encouraged to contact Rachael Ritchie, Director, International Partnerships, at Genome BC (rritchie@genomebc.ca) with an outline of the project to confirm eligibility as soon as possible.





