



UEFISCDI

➤ **Participation Rules in Ambient Assisted Living (AAL) Joint Programme Call for Proposals 2012**

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Relevant Documents



- **CALL TEXT**
 - rationale/focus/content/expected impact of call 5
 - funding commitments per country
- **GUIDE FOR APPLICANTS**
 - central eligibility criteria
 - characteristics of AAL projects
 - evaluation criteria
 - ethical issues/end user involvement/business case development
 - instruction for submission
- **NATIONAL ELIGIBILITY CRITERIA**

Basic Information



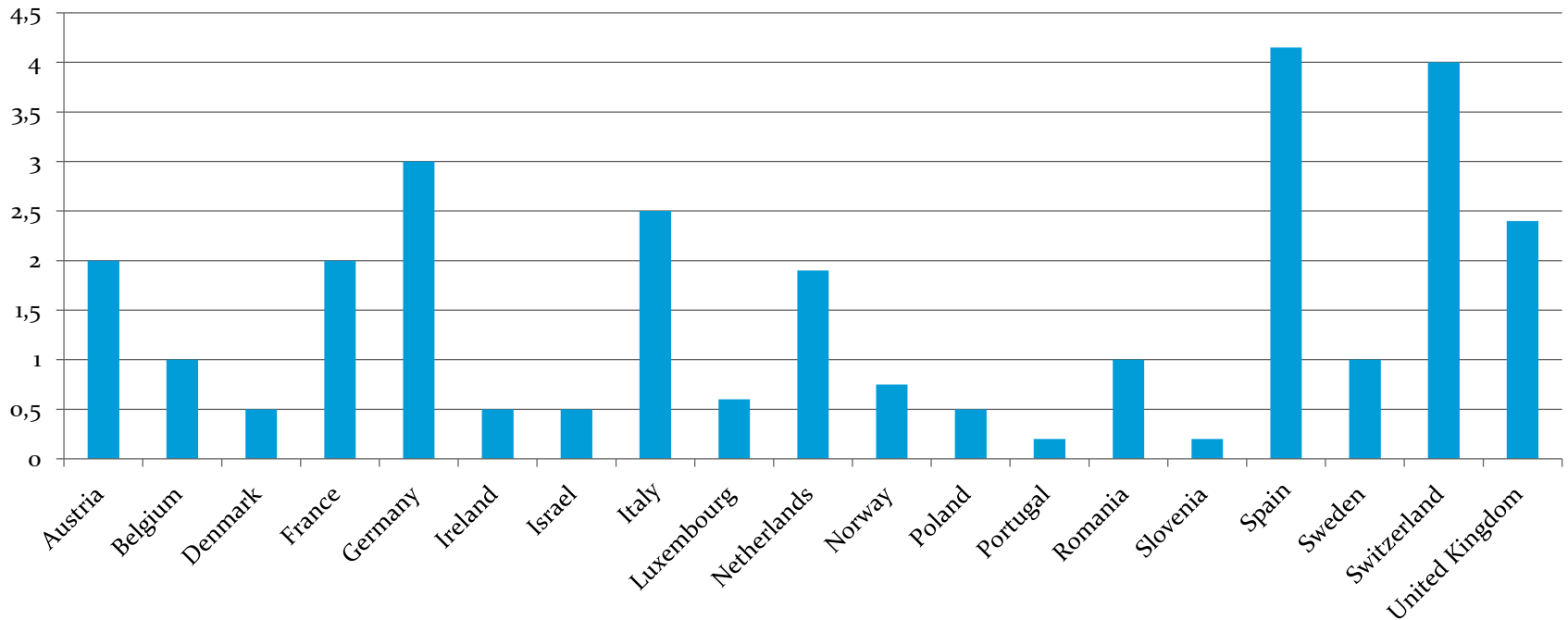
- Closure date: **31 May 2012, 17h00** Central European Time (CET)
- Indicative total funding: **51,55 M€**
- Consortia submit one **common project proposal** with one partner acting as **coordinator**.
- Project proposals will be evaluated centrally by independent European experts.
- Selection of proposals will be based on the evaluation criteria specified in the Guide for Applicants.

Basic Information



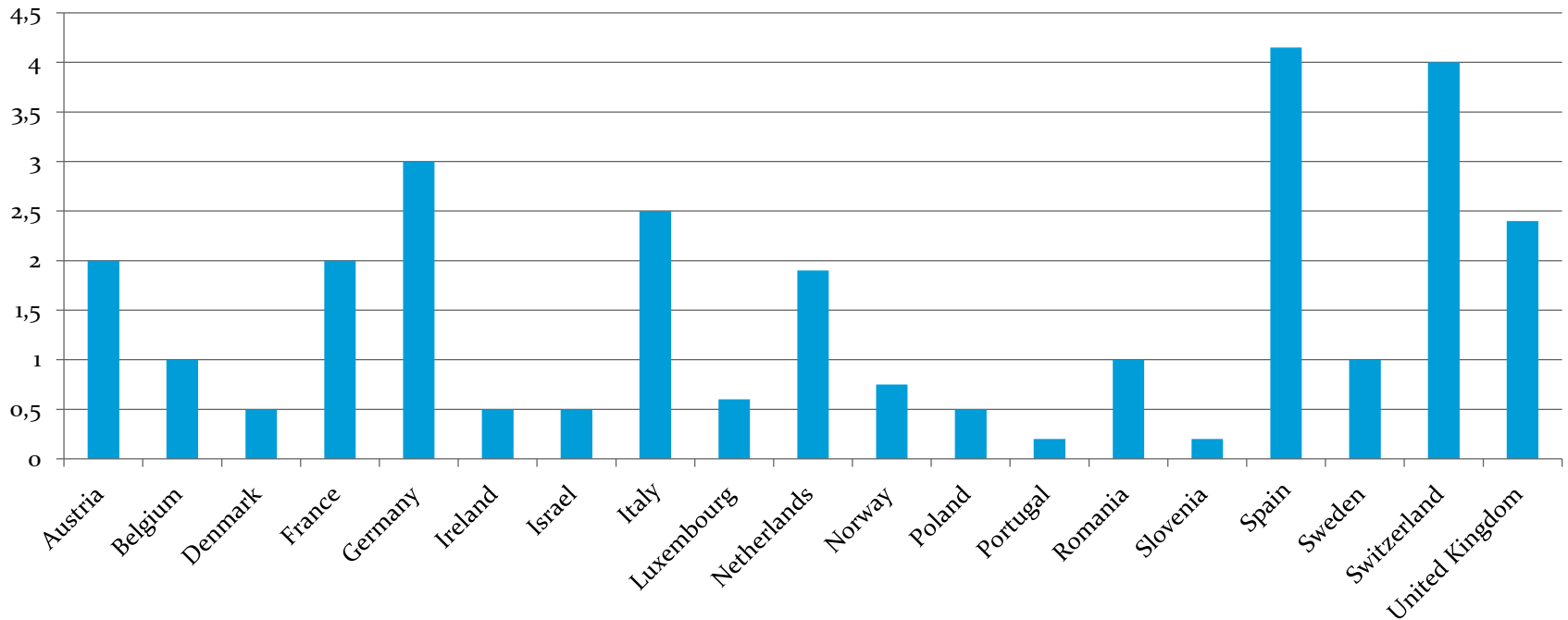
- Approval of the list with selected proposals by the AAL General Assembly is expected for **September 2012**.
- Funding of individual project partners will follow the respective national rules; reference to the national rules can be found in the Guide for Applicants.
- Funding decisions by national funding institutions expected for **December 2012**

AAL Partner States' Funding Commitments (M€)



Total commitment by AAL partner States for AAL Call 5 :	28,70 M€
Expected EC contribution for AAL Call 5:	22,85 M€
Expected total funding commitment :	51,55 M€

AAL Partner States' Funding Commitments (M€)



Romanian commitment for AAL Call 5 : 1 M€
Expected EC contribution for Romanian participation in AAL Call 5: 0,8 M€
Expected total funding commitment for Romanian participants: 1,8 M€

Characteristics of AAL JP Collaborative Projects



- ✓ Aims at ICT-based solutions to identified user needs
- ✓ **Time-to-market perspective of 2 to 3 years after end project**
- ✓ Project total budget: **1 - 7 M€**
- ✓ Maximum funding from the AAL Joint Programme: **3 M€**
- ✓ **Significant involvement of industry** and other business partners, particularly **SMEs are encouraged**. The efforts of industry and other business partners are expected in total to be 50% or more.
- ✓ **Realistic trial** set-up at the end of the project
- ✓ **Proactive end-user involvement** throughout the life of the project
- ✓ **Defined market segment(s), use cases and target group(s) and address the wishes and needs of these specific group(s).**

Eligibility criteria



Must be met on two levels:

- **Central European eligibility criteria** (see Call text)
- **National eligibility criteria** specific for each funding-agency (see Guide for Applicants)

Central European eligibility criteria



- ✓ **Electronic** submission of a **complete** proposal, in **English** before the **deadline** (May 31 2012, 17.00 CET)
- ✓ Consortium composition: min. 3 independent eligible organizations (1 coordinator), from min. **3 different AAL Partner States** participating in the Call.
- ✓ Consortium must include at least 1 eligible:
 - ✓ **end-user organization**
 - ✓ **business partner**
 - ✓ **SME (which can be the business partner)**
- ✓ Size of the consortium: **3 – 10 partners**
- ✓ Duration of the project: **12 – 36 months**
- ✓ Adherence to the **specifications for structure and technical details** (e.g. page count) given by the proposal submission system.

National eligibility criteria



- ❑ Eligible for funding are only organizations that:
 - Reside in an **AAL member country that participates in the Call**
 - Are explicitly included in the national eligibility criteria
 - Pass national checks for fiscal & financial viability

- ❑ National eligibility criteria of participating countries are published as annex to the Guide for Applicants

- ❑ *It is highly recommended - **in some countries mandatory!** - to consult with the AAL National Contact Point (NCP) prior to submission of a proposal!*

National eligibility criteria



- ❑ National funding authority for Romania is **UEFISCDI** (<http://uefiscdi.gov.ro/>)

- ❑ National programme: The National Plan for Research, Development and Innovation 2007-2013, PNII, Partnerships Program

- ❑ Eligible organizations:
 - ✓ **Enterprises:** industrial and commercial organizations (especially SME's) and institutions from social and health care and ICT sector including non-profit oriented institutions
 - ✓ **Research organizations** (universities, research institutes)
 - ✓ **Associations** (including organizations representing elderly people and their relatives, interest groups, etc.)
 - ✓ **Public bodies.**

National eligibility criteria



- ❑ The legal entities that don't have research and development activities in their activity object, cannot participate in this AAL projects.
- ❑ The maximum funding rates for Romanian Enterprises according to the specific type of activity, are:

RDI activities	Category of activity	Enterprise		
		Large	Medium	Small
Fundamental research	A.1	100%		
Industrial research	A.2	65%	75%	80%
Experimental development	A.3	40%	50%	60%
Technical of feasibility studies (industrial research)	B.1	65%	75%	
Technical of feasibility studies (experimental development)	B.2	40%	50%	
Protecting of industrial property rights (fundamental research)	C.1	-	100%	
Protecting of industrial property rights (industrial research)	C.2	-	60%	70%
Protection of Industrial property rights (experimental development)	C.3	-	35%	45%

National eligibility criteria



- ❑ The applicant does not have a **seizure on its accounts**;
- ❑ The applicant has not made **false declarations** concerning the information required by the National Funding Agency - UEFISCDI;
- ❑ The applicant **has not broken the terms of a different contract** signed previously with the National Funding Agency – UEFISCDI;
- ❑ The Romanian team leader for the Romanian partners that are *universities* or *research organizations* must have a **PhD**;
- ❑ The Romanian team leader must be **employee** of the Romanian partner;
- ❑ **A person cannot submit more than one project proposal in the same competition as leader of the Romanian team.**
- ❑ It is forbidden to submit a proposal which seeks to fund **activities which had already obtained funding from other sources.**

National eligibility criteria



□ Categories of eligible expenses are according to GD 134/2011:

- ✓ Personnel expenses
- ✓ Logistics (capital expenditures, materials, subcontracting): **capital expenditures** may not exceed **30%** of its funding from the public budget; costs of services (**subcontracting**) performed by third parties cannot exceed **25%** of funding from the public budget
- ✓ **Travel expenses** cannot exceed **15%** of funding from public budget
- ✓ **Overhead** (indirect costs) is calculated as a percentage of direct costs: staff costs, logistics costs (excluding capital costs) and travel expenses. Overhead costs will not exceed **20%** of direct costs.

During the project the fund can be redirected between the following budget categories: personnel expenses, logistics and travel expenses, up to **15%** of the total project budget.

Evaluation criteria



Scoring 1-5 / threshold 3 for each criterion

weight

Relevance & scope

1

- addresses the objectives of call / enabling elements to ensure access of the targeted end-users to the proposed solution / application areas
- Why the solution is needed by end-users / not on market yet?
- ICT Innovative applications/service systems throughout EU with a market introduction within 2-3 years after the project end

Scientific & technical quality

1

- Original integrated solutions based on sound S&T concepts, progress beyond the state of the art
- Realistic prototype/ Pilot application showing impact

Evaluation criteria



Scoring 1-5 / threshold 3 for each criterion

Quality consortium & efficiency implementation

weight
2

- expertise/resources/market experience/value network/international value
- proactive end user involvement (accessability, acceptance, usability of the proposed solution by the end-user)
- SME/industry involvement (budget & effort)
- workplan/Q-assurance/control/IPR /conflict solving/dissemination plan

Potential impact (QoL / market development)

2

Quality of Life (QoL)

- added value / market availability
- ensure respect for end users rights / ethical issues

Market development

- target high potential market inside EU and beyond
- business case – market analysis & strategy for market entry
- creating EU market → open interfaces/interoperability/standards/EIP-AHA

Procedure



Evaluation



1. Central eligibility check
2. National eligibility check
3. Evaluation by international teams of independent experts

→ **Ranking list**

4. **Matching ranking list with available budgets**

→ Best proposals/available budget on the **GREEN LIST**

→ Good proposals/budget deficits on the **YELLOW LIST**

→ Under threshold proposals on the **RED LIST**



Evaluation



4. Matching ranking list with available budgets

Green proposals →

Clarification & Negotiation phase
(incl. final eligibility check)

Red proposals → Notified by CMU
of rejection

Yellow proposals → BALANCING
PROCESS

Extra national budget?

Other resources?

Participation on own expenses?

IF NOT



Evaluation

4. Matching ranking list with available budgets

IF

Exhausted national budget(s)

Solution:

- removal / replacement of partner(s)

Conditions:

- < 50% change in PM
- no change of consortium coordinator

THEN

- *New eligibility check*
- *Re-evaluation possible*



Negotiation, Clarification



- **Contact**: NCP's ↔ national partners
- Request for **additional national information** workplan/ budget/ financial viability/ ethical req. etc.)
- Consortium Agreement / **common starting date**
- National Grant Agreements / financing project partners



Role of the NCP



- ❑ National communication about the call (websites/newsletters/info days etc.)
- ❑ Info & advise for national (potential) proposers
- ❑ Pre-check proposals / eligibility national partners
- ❑ Available for all your questions!

Good Luck!!!





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